Elevated Blood Lead Levels in Children Associated With the Flint Drinking Water Crisis: A Spatial Analysis of Risk and Public Health Response

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Objectives. We analyzed differences in pediatric elevated blood lead level incidence before and after Flint, Michigan, introduced a more corrosive water source into an aging water system without adequate corrosion control.

Methods. We reviewed blood lead levels for children younger than 5 years before (2013) and after (2015) water source change in Greater Flint, Michigan. We assessed the percentage of elevated blood lead levels in both time periods, and identified geographical locations through spatial analysis.

Results. Incidence of elevated blood lead levels increased from 2.4% to 4.9% (P<.05) after water source change, and neighborhoods with the highest water lead levels experienced a 6.6% increase. No significant change was seen outside the city. Geospatial analysis identified disadvantaged neighborhoods as having the greatest elevated blood lead level increases and informed response prioritization during the now-declared public health emergency.


See also Rosner, p. 200.

In April 2014, the postindustrial city of Flint, Michigan, under state-appointed emergency management, changed its water supply from Detroit-supplied Lake Huron water to the Flint River as a temporary measure, awaiting a new pipeline to Lake Huron in 2016. Intended to save money, the change in source water severed a half-century relationship with the Detroit Water and Sewage Department. Shortly after the switch to Flint River water, residents voiced concerns regarding water color, taste, and odor, and various health complaints including skin rashes.1 Bacteria, including *Escherichia coli*, were detected in the distribution system, resulting in Safe Drinking Water Act violations.2 Additional disinfection to control bacteria spurred formation of disinfection byproducts including total trihalomethanes, resulting in Safe Drinking Water Act violations for trihalomethane levels.2

Water from the Detroit Water and Sewage Department had very low corrosivity for lead as indicated by low chloride, low chloride-to-sulfate mass ratio, and presence of an orthophosphate corrosion inhibitor.3,4 By contrast, Flint River water had high chloride, high chloride-to-sulfate mass ratio, and no corrosion inhibitor.5 Switching from Detroit’s Lake Huron to Flint River water created a perfect storm for lead leaching into drinking water.6 The aging Flint water distribution system contains a high percentage of lead pipes and lead plumbing, with estimates of lead service lines ranging from 10% to 80%.7 Researchers from Virginia Tech University reported increases in water lead levels (WLLs),5 but changes in blood lead levels (BLLs) were unknown.

Lead is a potent neurotoxin, and childhood lead poisoning has an impact on many developmental and biological processes, most notably intelligence, behavior, and overall life achievement.8 With estimated societal costs in the billions,9–11 lead poisoning has a disproportionate impact on low-income and minority children.12 When one considers the irreversible, life-altering, costly, and disparate impact of lead exposure, primary prevention is necessary to eliminate exposure.13

Historically, the industrial revolution’s introduction of lead into a host of products has contributed to a long-running and largely silent pediatric epidemic.14 With lead now removed from gasoline and paint, the incidence of childhood lead poisoning has decreased.15 However, lead contamination of drinking water may be increasing because of lead-containing water infrastructures, changes in water sources, and changes in water treatment including disinfectant.16–18 A soluble metal, lead leaches into drinking water via lead-based plumbing or lead particles that detach from degrading plumbing components. (“Plumbing” is derived from the Latin word for lead,
“plumbum.”) Lead was restricted in plumbing material in 1986, but older homes and neighborhoods may still contain lead service lines, lead connections, lead solder, or other lead-based plumbing materials. Lead service lines, lead connections, lead solder, or other lead-based plumbing materials. Lead solubility and particulate release is highly variable and depends on many factors including water softness, temperature, and acidity.19–21 The US Environmental Protection Agency (EPA) regulates lead in public water supplies under the Safe Drinking Water Act Lead and Copper Rule, which requires action when lead levels reach 15 parts per billion (ppb).

Lead in drinking water is different from lead from other sources, as it disproportionately affects developmentally vulnerable children and pregnant mothers. Children can absorb 40% to 50% of an oral dose of water-soluble lead compared with 3% to 10% for adults.22 In a dose–response relationship for children aged 1 to 5 years, for every 1-ppb increase in water lead, blood lead increases 35%.23 The greatest risk of lead in water may be to infants on reconstituted formula. Among infants drinking formula made from tap water at 10 ppb, about 25% would experience a BLL above the Centers for Disease Control and Prevention (CDC) elevated blood lead level (EBLL) of 5 micrograms per deciliter (µg/dL).24 Tap water may account for more than 85% of total lead exposure among infants consuming reconstituted formula.25 A known abortifacient, lead has caused a massive decline in tax revenue for the city. The declining industrial and residential tax bases strained the city’s ability to provide basic services and reversed the public health fortunes of the city and suburbs.37 Severely reduced city population densities reduced water demand in the distribution system, exacerbating problems with lead corrosion.

As recommended by the CDC and supported by the American Academy of Pediatrics, blood lead screening is routine for high-risk populations and for children insured by Medicaid at age 1 and 2 years.27 The CDC-recommended screening ages are based on child development (increased oral–motor behavior), which places a child most at risk for house-based lead exposure (e.g., peeling paint, soil, dust). State and national blood lead–screening programs, however, do not adequately capture the risk of lead in water because infants are at greatest risk.

Armed with reports of elevated WLLs and recognizing the lifelong consequences of lead exposure, our research team sought to analyze blood lead data before (pre) and after (post) the water source switch with a geographic information system (GIS) to determine lead exposure risk and prioritize responses. This research has immediate public policy, public health, environmental, and socioeconomic implications.

This research includes Flint, Michigan, and surrounding municipalities in Genesee County (Greater Flint). Greater Flint is a postindustrial region of nearly 500,000 people struggling from years of disinvestment by the automobile industry and associated manufacturing activities: the region has lost 77% of its manufacturing employment and 41% of employment overall since 1980.28 National and local data sources demonstrate dismal indicators for children, especially within Flint city limits.29–32 Greater Flint ranks toward the bottom of the state in rates of childhood poverty (42% in Flint vs 16.2% in Michigan and 14.8% in the United States), unemployment, violent crime, illicit drug use, domestic violence, preterm births, infant mortality, and overall health outcomes (81st out of 82 Michigan counties).

Greater Flint’s struggles have been amplified by a history of racial discrimination, whereby exclusionary housing practices were common.35,34 Such attitudes toward integration later precipitated White flight and emboldened home-rule governance,35,36 causing a massive decline in tax revenue for the city. The declining industrial and residential tax bases strained the city’s ability to provide basic services and reversed the public health fortunes of the city and suburbs.37 We derived overall neighborhood-level socioeconomic disadvantage score comparisons from census block group variables intended to measure material and social deprivation. We calculated these scores from an unweighted z score sum of rates of lone parenthood, poverty, low educational attainment, and unemployment (adapted from Pampalon et al.39; used previously in Flint by Sadler et al.40), and assigned these to each child on the basis of home address. Positive values denote higher disadvantage, and negative values denote lower disadvantage. Table 1 highlights the overall socioeconomic disadvantage score comparison by time period and area.

We conducted a series of spatial joins to assign participant records to Greater Flint municipalities and Flint wards (including those with high WLL), enabling the calculation of the number and percentage of children with EBLLs in each geographic region for both time periods. The reference value for EBLL was 5 µg/dL or greater. We identified Flint wards with high WLLs with water lead sampling maps.38 Wards 5, 6, and 7 had the highest WLLs; in each ward, more than 25% of samples had a WLL higher than 15 ppb. We theorized that children living in this combination of wards would have the highest incidence of EBLLs (referred to as “high WLL Flint”); the remainder of Flint was referred to as “lower WLL Flint”).

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We created spatial references for EBLL risk and a predictive surface for BLL by using GIS, providing the ability to see otherwise invisible spatial–temporal patterns in environmental exposure.17 Because of the need to

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understand spatial variations and geographically target resources, we also ran ordinary Kriging with a spherical semivariogram model on the entire data set for Greater Flint, allowing interpolation of associated BLL risks with lead in water. Previous methods for evaluating spatial variation in lead levels have ranged from multivariable analyses at the individual level to interpolation methods such as inverse distance weighting and Kriging. Given our assumption that lead risk is spatially correlated in Greater Flint because of the age and condition of pipes, interpolation methods are appropriate for building a preliminary risk surface. Both inverse distance weighting and Kriging derive such surfaces by calculating values at unmeasured locations based on weighting nearby measured values more strongly than distant values. Whereas inverse distance weighting is a deterministic procedure and relies on predetermined mathematical formulae, Kriging has the added sophistication of using geostatistical models that consider spatial autocorrelation, thereby improving accuracy of prediction surfaces (ArcGIS Desktop version 10.3, Environmental Systems Research Institute, Redlands, CA). As well, Kriging can be run with relatively few input points: adequate ranges fall between 30 and 100 total points, although Kriging has been conducted with just 7.44

Our city of Flint sample included 736 children in the pre period and 737 children in the post period, which amounts to a density of approximately 22 points per square mile. Kriging has become an increasingly common method for measuring variations in soil lead, and is given more in-depth treatment elsewhere. To examine change in proportion of children with EBLL from the pre to post time periods, we used $\chi^2$ analysis with continuity correction for each area (outside Flint, all Flint, high WLL Flint, and lower WLL Flint). In addition, we examined differences in overall socioeconomic disadvantage scores from the pre to post time periods by using the independent $t$ test. Finally, we used both $\chi^2$ analysis with continuity correction and 1-way ANOVA to assess demographic differences by area. We used post hoc least significant difference analysis following statistically significant 1-way ANOVAs.

### RESULTS

We uncovered a statistically significant increase in the proportion of Flint children with EBLL from the pre period to the proportion of Flint children in the post period. In the pre period, 2.4% of children in Flint had an EBLL; in the post period, 4.9% of children had an EBLL ($P<.05$). By comparison, outside of Flint water, the change in EBLL was not statistically significant (0.7% to 1.2%; $P>.05$). In high WLL Flint, EBLL increased from 4.0% to 10.6% ($P<.05$). Figure 1 shows the EBLL percentage change per area.

Results of the GIS analyses show significant clustering of EBLLs within the Flint city limits. According to ordinary Kriging, Figure 2 shows a predicted surface based on observations of actual child BLL geocoded to home address to visualize BLL variation over space (measured in $\mu$/dL). The darkest shades of red represent the highest risk for EBLL based on existing observations. Outside Flint, the entire county falls entirely within the lowest half of the range (in shades of blue); the only locations where predicted BLL is greater than 1.75 $\mu$/dL is within Flint city limits.

Within Figure 2, each ward is also labeled according to the percentage of water samples that exceeded 15 ppb. The areas with the highest WLLs strongly coincide with the areas with the highest predicted BLLs. In addition, the high percentage of EBLL in wards 5, 6, and 7 also correspond with the high WLLs in wards 5, 6, and 7 (the labels in Figure 2). Table 2 shows ward-specific WLLs, pre period and post period EBLL percentages, and predicted BLL and predicted change in BLL from Kriging.

Areas experiencing the highest predicted BLL in the post period (Figure 2) are generally also areas with greatest change in predicted BLL (measured in $\mu$/dL) when compared with the pre period (Table 2; Figure A, available as a supplement to the online version of this article at http://www.ajph.org). Figure A quantifies this rate of change with a green to red scale: large increases are shown in increasingly darker shades of red, whereas large decreases are shown in increasingly darker shades of green. These once again match with city wards that experienced greater rates of EBLL percentage increase (Figure 1, Table 2). In wards 5 and 6 (which experienced a predicted 0.51 and 0.27 $\mu$/dL increase, respectively), the EBLL percentage more than tripled. In ward 5, the EBLL percentage increased from 4.9% to 15.7% ($P<.05$). The area of intersection between wards 3, 4, and 5 (in the east side of the city) also appeared high in the Kriging analysis of Figure 2, and with a different unit of aggregation this neighborhood would also exhibit a significant increase in EBLL percentage. Ward 7 had high pre period and post period EBLL percentage levels above 5% (with a particularly high rate in the western portion of the ward). Citywide,
4 wards (1, 4, 7, and 9) experienced decreases in predicted BLL, 3 wards (2, 5, and 6) experienced large increases, and 2 wards (3 and 8) remained largely the same (Figure A).

Overall, statistically significant differences exist between the areas examined (outside Flint, high WLL Flint, and lower WLL Flint) in all demographic characteristics except sex. The overall percentage of African American children is 24.4% outside Flint, compared with 76.8% in high WLL Flint and 67.0% in lower WLL Flint ($P < .001$). Children outside Flint were younger (mean = 1.86 years [SD = 1.10]) than high WLL Flint (mean = 2.04 years [SD = 1.02]) and lower WLL Flint (mean = 2.09 years [SD = 1.07]; $P < .001$). Differences in overall socioeconomic disadvantage scores are likewise significant ($P < .001$). Post hoc least significant difference analysis shows statistically significant differences for overall socioeconomic disadvantage between outside Flint and high WLL Flint ($P < .001$), between outside Flint and lower WLL Flint ($P < .001$), and between high WLL Flint and lower WLL Flint ($P < .001$).

**DISCUSSION**

Our findings reveal a striking increase in the percentage of Flint children with EBLL before and after the water source switch, with no statistically significant increase in EBLL outside Flint. The spatial and statistical analyses highlight the greatest EBLL increase within certain wards of Flint, which correspond to the areas of elevated WLLs.

A review of alternative sources of lead exposure reveals no other potential environmental confounders during the same time period. Demolition projects by the Genesee County Land Bank Authority (Heidi Phaneuf, written communication, October 29, 2015) showed no spatial relationship to the areas of increased EBLL rates. As well, no known new lead-producing factories nor changes in indoor lead remediation programs were implemented during the study period. Although Flint has a significant automobile history, the historical location of potentially lead-using manufacturing (e.g., battery plants, paint and pigment storage, production plants) do not align with current exposures.

Because there was no known alternative source for increased lead exposure during this time period, the geospatial WLL results, the innate corrosive properties of Flint River water, and, most importantly, the lack of corrosion control, our findings strongly implicate the water source change as the probable cause for the dramatic increase in EBLL percentage.

As in many urban areas with high levels of socioeconomic disadvantage and minority populations,46 we found a preexisting disparity in lead poisoning. In our pre water source switch data, the EBLL percentage in Flint was 2.4% compared with 0.7% outside Flint. This disparity widened with a post water source switch Flint EBLL of 4.8%, with no change in socioeconomic or demographic variables (Table 1). Flint children already suffer from risk factors that innately increase their lead exposure: poor nutrition, concentrated poverty, and older housing stock. With limited protective measures, such as low rates of breastfeeding,47,48 and scarce resources for water alternatives, lead in water further exacerbates preexisting risk factors. Increased lead-poisoning rates have profound implications for the life course potential of an entire cohort of Flint children already rattled with toxic stress contributors (e.g., poverty, violence, unemployment, food insecurity). This is particularly troublesome in light of recent findings of the epigenetic effects of lead exposure on one’s grandchildren.49

The Kriging analysis showed the highest predicted BLLs within the city along a wide swath north and west of downtown. This area has seen significant demographic change, an increase in poverty, and an increase in vacant properties, especially over the past 25 years (Richard Sadler, written communication, October 5, 2015). Higher BLLs were also predicted northeast of downtown and in other neighborhoods where poverty and vacancy rates have been high for many decades. Significantly, the biggest changes in predicted BLL since 2013 were also found in these impoverished neighborhoods; more stable neighborhoods in the far north and south of the city may have experienced improved predicted BLLs because of prevention efforts taken by the more-often middle-class residents in response to the water source change. Of considerable interest is that the areas shown as having the best public health indices by Board and Dunsmore in Figure 2 of their 1948 article37 are virtually identical to the areas with the worst lead levels today.

After our preliminary zip code–based findings (pre to post water source switch...
EBLL = 2.1% to 4.0%; $P < .05$ were shared at a press conference, the City of Flint and the Genesee County Health Department released health advisories, and the county health department subsequently declared a public health emergency. Shortly after, the State of Michigan released an action plan with short- and long-term solutions focusing on additional sampling, filter distribution, and corrosion control. One week later, Michigan’s governor revealed BLLs in 3 schools to be in the toxic range with 1 school showing a water lead level of 101 ppb, almost 7 times the level that requires remediation. A $12$ million plan to reconnect to Detroit’s water source was announced.

We undertook our current spatial analytic approach to overcome limitations of zip code boundaries and to develop a more thorough understanding of specific areas in Flint where EBLL risk is more severe (post office addresses often do not align with municipal boundaries in Michigan, and one third of Flint mailing addresses are not in the city of Flint). This spatial analysis is valuable for understanding subneighborhood patterns in EBLL risk because aggregation by zip code or ward minimizes the richness of spatial variation and creates artificial barriers that may obscure hot spots (as in the confluence of wards 3, 4, and 5).

Such use of spatial analysis for estimating lead exposure risk has been used to target blood lead–screening programs. In our case, in addition to identifying areas of risk, spatial analysis helps guide municipal and nongovernmental relief efforts aimed at identifying vulnerable populations in specific neighborhoods for priority distribution of resources (e.g., bottled water, filters, premixed formula).

**Limitations**

Our research contains a few limitations. First, we may have underestimated water-based lead exposure. Our sample included all children younger than 5 years with blood lead screening, although the greatest risk from lead in water is in utero and during infancy when lead screening is not done. If lead screening were recommended at a younger age (e.g., 6 or 9 months) for children who live in homes with potential lead piping or lead service lines, more children with EBLL from water could be identified, although state and national comparison rates would be lacking. Second, lead screening is not completed for all children. It is mandated by Medicaid and CDC-recommended for other high-risk groups; such data may be skewed toward higher-risk children and thus overestimate EBLL, especially in non–high-risk areas. Third, the underserved population of Flint has significant housing instability: lead levels may reflect previous environmental exposure, and exposure often cannot be adequately estimated on the basis of current residence alone.

Fourth, although large, our sample does not reflect all lead screening from Flint. We estimate that our data capture approximately 60% to 70% of the Michigan Childhood Lead Poisoning Prevention Program data for Flint. Annual data released from this program further support our findings, revealing an annual decrease in EBLL percentage from May to April 2010 to 2011 until the same period in 2013 to 2014 (4.1%,...
3.3%, 2.7%, 2.2%, respectively; Robert L. Scott, e-mail correspondence, September 25, 2015). Following the water switch in April 2014, the 4-year declining trend (as seen nationally) reversed with an annual EBLL of 3.0%.

We found consistent results (with control for age and methodology) when we analyzed Michigan Childhood Lead Poisoning Prevention Program data for both high WLL Flint (EBLL percentage increased: 6.6% to 9.6%) and outside Flint (EBLL percentage remained virtually unchanged: 2.2% to 2.3%). Our institution-processed laboratory blood lead tests, however, had an even greater proportion of children with EBLLs versus state data in the post period. This may reflect that the BLLs processed at Hurley Medical Center, the region’s only safety-net public hospital, represent a patient population most at risk with limited resources to afford tap water alternatives.

Conclusions and Future Research

Future research directions include conducting more detailed geospatial analyses of lead service-line locations with locations of elevated BLLs and WLLs; repeating identical spatial and statistical analyses in the same time period in 2016 reflecting changes associated with the health advisory and return to Lake Huron source water; analyzing feeding type (breasted or reconstituted formula) for children with EBLLs; analyzing cord blood lead of Flint newborns compared with non-Flint newborns; and conducting water lead testing from homes of children with EBLLs.

A once celebrated cost-cutting move for an economically distressed city, the water source change has now wrought untold economic, population health, and geopolitical burdens. With unchecked lead exposure for more than 18 months, it is fortunate that the duration was not longer (as was the case in Washington, DC, ’s lead-in-water issue). Even so, the Flint drinking water crisis is a dramatic failure of primary prevention. The legal safeguards and regulating bodies designed to protect vulnerable populations from preventable lead exposure failed.

The Lead and Copper Rule requires water utilities to notify the state of a water source or treatment change recognizing that such changes can unintentionally have an impact on the system’s corrosion control. Although a review is required before implementing changes, the scope of risk assessment is not specified and is subject to misinterpretation. In response to the Flint drinking water crisis, the EPA recently released a memo reiterating and clarifying the need for states to conduct corrosion control reviews before implementing changes. This recommendation is especially relevant for communities with aging infrastructures, usurped city governance, and minimal water utility capacity; in such situations, there is an increased need for state and federal expertise and oversight to support decisions that protect population health.

Through vigilant public health efforts, lead exposure has fallen dramatically over the past 30 years. With the increasing recognition that no identifiable BLL is safe and without deleterious and irreparable health outcomes, Healthy People 2020 identified the elimination of EBLLs and underlying disparities in lead exposure as a goal.

Regrettably, our research reveals that the potentially increasing threat of lead in drinking water may dampen the significant strides in childhood lead-prevention efforts. As our aging water infrastructures continue to decay, and as communities across the nation struggle with finances and water supply sources, the situation in Flint, Michigan, may be a harbinger for future safe drinking-water challenges. Ironically, even when one is surrounded by the Great Lakes, safe drinking water is not a guarantee.

CONTRIBUTORS

M. Hanna-Attisha originated the study, developed methods, interpreted analysis, and contributed to the writing of the article. J. LaChance and R. Casey Sadler assisted with the development of the methods, analyzed results, interpreted the findings, and contributed to the writing of the article. A. Champney Schnepf assisted with the interpretation of the findings and contributed to the writing of the article.

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HUMAN PARTICIPANT PROTECTION

This study was reviewed and approved by Hurley Medical Center institutional review board.

REFERENCES


Note. BLL = blood lead level; EBLL = elevated blood lead level; WLL = water lead level.

Ordinary Kriging geostatistical analysis.

Indicates wards defined as high WLL risk in this study.


